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S/N 09/506,347

PATENT

<u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

Applicant:

NAKATA et al.

Examiner:

Unknown

Serial No.:

09/506,347

Group Art Unit:

Unknown

Filed:

February 17, 2000

Docket No.:

10873.498US01

Title:

OPTICAL HEAD AND METHOD OF MANUFACTURING THE SAME

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. (2023), John May 22, 2000.

By: Douglas Rymbelle

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on—the—merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

Abstracts are provided for JP 9-320086 and JP 11-213411.

Verified Partial English Translations for JP 7–141691 and JP 11–339299 are submitted herewith.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or

otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13–2725.

Respectfully submitted,

Dated: May 22, 2000

Douglas P. Mueller

Reg. No. 30,300

MERCHANT & GOULD, P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

Telephone: (612) 332-5300

DPM/baw